

Appendix A-3: Summary of Tax Reporting Received and Additional Forms Filed

Year Ended December 31, 2011

		Tax Reporting Provided to Foundation						Additional Forms Filed by Foundation				
	# of Investments	K-1		PFIC Statement		Form 5471	Other		926	8621	8865	8886
Alternative Investments	53	60	*	5	**	2	3	***	43	19	9	1
Hedge Funds	50	2		1		0	1	***	8	1	0	0
Managers		5		0		0	0		0	0	0	0
General									0	0	0	1
Total	103	67		6		2	4		51	20	9	2

* Alternative investment K-1 total includes 16 additional K-1s provided for AIVs.

** Alternative investment PFIC statement total includes 1 PFIC statement received for an AIV.

*** "Other" tax reporting includes pro forma Form 8621, a grantor letter from a liquidation trust, and a capital statement used to determine amounts to be included on tax return. One "Other" item is a pro forma Form 8621 for an AIV.